



28PH

207

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

-----ooo:0:ooo-----

Application of :  
Hirofumi KAWASHIMA :  
Serial No. 09/975,876 : Group Art Unit - 2834  
Filed: October 11, 2001 : Examiner - Karen B. Addison  
For: QUARTZ CRYSTAL TUNING :  
FORK RESONATOR : Docket No. S002-4864

-----ooo:0:ooo-----

COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT  
UNDER PATENT RULES 97 AND 98**

**S I R:**

Applicant submits this information disclosure statement pursuant to 37 CFR §§1.97 and 1.98.

This application has not received a first Office Action on the merits. Accordingly, this information disclosure statement is being filed under 37 CFR §1.97(b)(3), i.e., before the mailing date of a first Office Action on the merits.

**MAILING CERTIFICATE ON  
LAST PAGE**

Information Disclosure Statement

Pursuant to 37 CFR §1.98, a list of patents, publications or other information submitted for consideration by the PTO is provided on annexed Form PTO-1449. A legible copy of each item of information listed on the Form is submitted herewith.

As to each item of information, if any, which is not in the English language, a concise explanation of the relevance thereof pursuant to 37 CFR §1.98(a)(3) is given in the English-language Abstract attached thereto.

Consideration of this information disclosure statement is respectfully requested.

Respectfully submitted,

ADAMS & WILKS  
Attorneys for Applicant

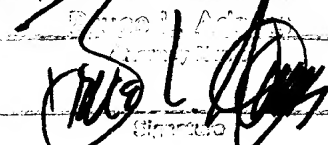
By: 

Bruce L. Adams  
Reg. No. 25,386

50 Broadway  
31st Floor  
New York, NY 10004  
(212) 809-3700

MAILING CERTIFICATE

I hereby certify that this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Commissioner of Patents & Trademarks, Washington, D.C. 20231, on or before the indicated date.

  
\_\_\_\_\_  
Signature

-2-

March 13, 2003  
\_\_\_\_\_  
Date

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE

ATTY. DOCKET NO.

SERIAL NO.

S002-4864

09/975,876

## LIST OF PRIOR ART CITED BY APPLICANT

APPLICANT

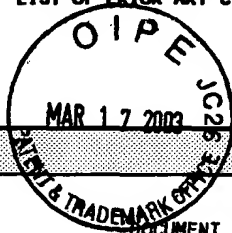
Hirofumi KAWASHIMA

FILING DATE

October 11, 2001

GROUP

2834



## U.S. PATENT DOCUMENTS

*		DOCUMENT NO.	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						

## FOREIGN PATENT DOCUMENTS

*		DOCUMENT NO.							DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
													YES	NO
	AL	00	0	4	4	0	9	2	07-27-00	Japan	--	--	abst	
	AM	56	0	6	5	5	1	7	06-03-81	Japan	--	--	abst	
	AN	00	2	2	3	9	9	2	08-11-00	Japan	--	--	abst	
	AO													
	AP													
	AQ													

## OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AR	
	AS	
	AT	

EXAMINER: Karen B. Addison

DATE CONSIDERED:

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant.